

Equivariant Valuations of Convex Functions

Georg Hofstätter

jointly with Jonas Knoerr

Friedrich-Schiller-University Jena

INdAM Meeting: Convex geometry – analytic aspects
Cortona, June 26-30, 2023



FRIEDRICH-SCHILLER-
UNIVERSITÄT
JENA

Affine constructions on convex bodies

- ▶ Difference body $D : \mathcal{K}^n \rightarrow \mathcal{K}^n$

$$DK = K + (-K) = \{x - y : x, y \in K\}$$

\mathcal{K}^n ... convex bodies (compact, convex subsets of \mathbb{R}^n)

Affine constructions on convex bodies

- ▶ Difference body $D : \mathcal{K}^n \rightarrow \mathcal{K}^n$

$$DK = K + (-K) = \{x - y : x, y \in K\}$$

- ▶ Projection body $\Pi : \mathcal{K}^n \rightarrow \mathcal{K}^n$

$$V_1(\Pi K | \text{span}\{u\}) = 2V_{n-1}(K|u^\perp), \quad u \in \mathbb{S}^{n-1}$$

\mathcal{K}^n ... convex bodies (compact, convex subsets of \mathbb{R}^n)

V_i ... volume (on \mathbb{R}^i)

$K|E$... orthogonal projection onto subspace E

Affine constructions on convex bodies

- ▶ D is 1-homogeneous and $\mathrm{SL}_n(\mathbb{R})$ -equivariant, i.e.

$$D(\eta K) = \eta D(K), \quad \eta \in \mathrm{SL}_n(\mathbb{R})$$

Affine constructions on convex bodies

- ▶ D is 1-homogeneous and $\mathrm{SL}_n(\mathbb{R})$ -equivariant, i.e.

$$D(\eta K) = \eta D(K), \quad \eta \in \mathrm{SL}_n(\mathbb{R})$$

- ▶ Π is $(n - 1)$ -homogeneous and $\mathrm{SL}_n(\mathbb{R})$ -contravariant, i.e.

$$\Pi(\eta K) = \eta^{-T} \Pi(K), \quad \eta \in \mathrm{SL}_n(\mathbb{R})$$

Affine constructions on convex bodies

- ▶ D is 1-homogeneous and $SL_n(\mathbb{R})$ -equivariant, i.e.

$$D(\eta K) = \eta D(K), \quad \eta \in SL_n(\mathbb{R})$$

- ▶ Π is $(n - 1)$ -homogeneous and $SL_n(\mathbb{R})$ -contravariant, i.e.

$$\Pi(\eta K) = \eta^{-T} \Pi(K), \quad \eta \in SL_n(\mathbb{R})$$

D and Π are Minkowski valuations:

Definition

A continuous map $\Phi : \mathcal{K}^n \rightarrow \mathcal{K}^n$ is called a Minkowski valuation, if

$$\Phi(K \cup L) + \Phi(K \cap L) = \Phi(K) + \Phi(L), \quad \forall K, L, K \cup L \in \mathcal{K}^n$$

continuity ... w.r.t. Hausdorff metric

Characterization of D and Π

Theorem (Ludwig 2005)

Suppose that $\Phi : \mathcal{K}^n \rightarrow \mathcal{K}^n$ is a translation-invariant Minkowski valuation.

$$\blacktriangleright \Phi \text{ is } \mathrm{SL}_n(\mathbb{R})\text{-equivariant} \quad \Leftrightarrow \quad \Phi = cD, \quad c \geq 0.$$

We always silently assume $n \geq 3$.

Characterization of D and Π

Theorem (Ludwig 2005)

Suppose that $\Phi : \mathcal{K}^n \rightarrow \mathcal{K}^n$ is a translation-invariant Minkowski valuation.

- ▶ Φ is $\mathrm{SL}_n(\mathbb{R})$ -equivariant $\Leftrightarrow \Phi = cD, c \geq 0$.
- ▶ Φ is $\mathrm{SL}_n(\mathbb{R})$ -contravariant $\Leftrightarrow \Phi = c\Pi, c \geq 0$.

We always silently assume $n \geq 3$.

Our setting

Finite convex functions

$$\text{Conv}(\mathbb{R}^n, \mathbb{R}) := \{f : \mathbb{R}^n \rightarrow \mathbb{R} : f \text{ convex}\}$$

with

- ▶ epi-convergence (i.e. uniform convergence on compact sets)
- ▶ $\text{SL}_n(\mathbb{R})$ -action: $(\varphi \cdot f)(x) = f(\varphi^{-1}x)$, $\varphi \in \text{SL}_n(\mathbb{R})$

Our setting

Finite convex functions

$$\text{Conv}(\mathbb{R}^n, \mathbb{R}) := \{f : \mathbb{R}^n \rightarrow \mathbb{R} : f \text{ convex}\}$$

with

- ▶ epi-convergence (i.e. uniform convergence on compact sets)
- ▶ $\text{SL}_n(\mathbb{R})$ -action: $(\varphi \cdot f)(x) = f(\varphi^{-1}x)$, $\varphi \in \text{SL}_n(\mathbb{R})$

Example

Support functions of $K \in \mathcal{K}^n$

$$h_K(x) = \sup_{y \in K} \langle x, y \rangle, \quad x \in \mathbb{R}^n$$

Valuations on convex functions

We want to consider maps $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$, which are

- ▶ continuous
- ▶ dually epi-translation-invariant: $\forall \lambda$ affine

$$\Psi(f + \lambda) = \Psi(f)$$

Valuations on convex functions

We want to consider maps $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$, which are

- ▶ continuous
- ▶ dually epi-translation-invariant: $\forall \lambda$ affine

$$\Psi(f + \lambda) = \Psi(f)$$

- ▶ valuations: $\forall f, g, f \wedge g \in \text{Conv}(\mathbb{R}^n, \mathbb{R})$

$$\Psi(f \wedge g) + \Psi(f \vee g) = \Psi(f) + \Psi(g)$$

Valuations on convex functions

We want to consider maps $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$, which are

- ▶ continuous
- ▶ dually epi-translation-invariant: $\forall \lambda$ affine

$$\Psi(f + \lambda) = \Psi(f)$$

- ▶ valuations: $\forall f, g, f \wedge g \in \text{Conv}(\mathbb{R}^n, \mathbb{R})$

$$\Psi(f \wedge g) + \Psi(f \vee g) = \Psi(f) + \Psi(g)$$

- ▶ $\text{SL}_n(\mathbb{R})$ -equi-/contravariant

Previous results

Ludwig 2012:

$$\Psi : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$$

Colesanti, Ludwig, Mussnig 2017:

$$\Psi : \text{Conv}_c(\mathbb{R}^n) \rightarrow \mathcal{K}^n$$

Previous results

Ludwig 2012:

$$\Psi : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$$

Colesanti, Ludwig, Mussnig 2017:

$$\Psi : \text{Conv}_c(\mathbb{R}^n) \rightarrow \mathcal{K}^n$$

Theorem (H. & Knoerr 2023)

Suppose that $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$ is continuous and additive.

Ψ is $\text{SL}_n(\mathbb{R})$ -equivariant

$$\Leftrightarrow \exists c \in \mathbb{R}, \nu \in \mathcal{M}_c^+(\mathbb{R}) \text{ with } \int_{\mathbb{R}^\times} |s|^{-1} d\nu(s) < \infty, \text{ s.t.}$$

$$\Psi(f)[x] = c \cdot f(0) + \int_{\mathbb{R}^\times} \frac{f(sx) - f(0)}{s^2} d\nu(s), \quad x \in \mathbb{R}^n,$$

for every $f \in \text{Conv}(\mathbb{R}^n, \mathbb{R})$.

$\mathcal{M}_c^+(\mathbb{R})$... non-negative finite measures on \mathbb{R} with compact support

Previous results

Ludwig 2012:

$$\Psi : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$$

Colesanti, Ludwig, Mussnig 2017:

$$\Psi : \text{Conv}_c(\mathbb{R}^n) \rightarrow \mathcal{K}^n$$

Theorem (H. & Knoerr 2023)

Suppose that $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$ is continuous and additive.

Ψ is $\text{SL}_n(\mathbb{R})$ -equivariant

$$\Leftrightarrow \exists c \in \mathbb{R}, \nu \in \mathcal{M}_c^+(\mathbb{R}) \text{ with } \int_{\mathbb{R}^\times} |s|^{-1} d\nu(s) < \infty, \text{ s.t.}$$

$$\Psi(f)[x] = c \cdot f(0) + \int_{\mathbb{R}^\times} \frac{f(sx) - f(0)}{s^2} d\nu(s), \quad x \in \mathbb{R}^n,$$

for every $f \in \text{Conv}(\mathbb{R}^n, \mathbb{R})$.

e.g. $\Psi(f)[x] = f(x) + f(-x)$, $x \in \mathbb{R}^n$

$\mathcal{M}_c^+(\mathbb{R})$... non-negative finite measures on \mathbb{R} with compact support

Equivariant valuations on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$

Theorem (H. & Knoerr 2023+)

Suppose that $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$ is a continuous, dually epi-translation-invariant valuation.

Ψ is $\text{SL}_n(\mathbb{R})$ -equivariant $\Leftrightarrow \exists c \in \mathbb{R}, \nu \in \mathcal{M}_c^+(\mathbb{R})$, s.t.

$$\int_{\mathbb{R}^\times} |s|^{-1} d\nu(s) < \infty \quad \text{and} \quad \int_{\mathbb{R}^\times} s^{-1} d\nu(s) = 0$$

and

$$\Psi(f)[x] = c + \int_{\mathbb{R}^\times} \frac{f(sx) - f(0)}{s^2} d\nu(s), \quad x \in \mathbb{R}^n,$$

for every $f \in \text{Conv}(\mathbb{R}^n, \mathbb{R})$.

Notes on the proof

- ▶ $\Psi_x : f \mapsto \Psi(f)[x]$ is a valuation on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$, $x \neq 0 \in \mathbb{R}^n$

Notes on the proof

- ▶ $\Psi_x : f \mapsto \Psi(f)[x]$ is a valuation on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$, $x \neq 0 \in \mathbb{R}^n$
- ▶ Goodey–Weil distribution for $\text{VConv}_k(\mathbb{R}^n)$ (Knoerr 2021)

$$\text{GW} : \text{VConv}_k(\mathbb{R}^n) \rightarrow \mathcal{D}'_c((\mathbb{R}^n)^k)$$

$\text{VConv}_k(\mathbb{R}^n)$...	epi-continuous, k -homogeneous, dually epi-translation-invariant valuations
$\mathcal{D}'_c((\mathbb{R}^n)^k)$...	distributions with compact support

Notes on the proof

- ▶ $\Psi_x : f \mapsto \Psi(f)[x]$ is a valuation on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$, $x \neq 0 \in \mathbb{R}^n$
- ▶ Goodey–Weil distribution for $\text{VConv}_k(\mathbb{R}^n)$ (Knoerr 2021)

$$\text{GW} : \text{VConv}_k(\mathbb{R}^n) \rightarrow \mathcal{D}'_c((\mathbb{R}^n)^k)$$

- ▶ $\text{SL}_n(\mathbb{R})$ -equivariance \implies $\text{GW}(\Psi_x)$ is $\text{SL}_n(\mathbb{R})_x$ -invariant

$\text{VConv}_k(\mathbb{R}^n)$...	epi-continuous, k -homogeneous, dually epi-translation-invariant valuations
$\mathcal{D}'_c((\mathbb{R}^n)^k)$...	distributions with compact support

Notes on the proof

- ▶ $\Psi_x : f \mapsto \Psi(f)[x]$ is a valuation on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$, $x \neq 0 \in \mathbb{R}^n$
- ▶ Goodey–Weil distribution for $\text{VConv}_k(\mathbb{R}^n)$ (Knoerr 2021)

$$\text{GW} : \text{VConv}_k(\mathbb{R}^n) \rightarrow \mathcal{D}'_c((\mathbb{R}^n)^k)$$

- ▶ $\text{SL}_n(\mathbb{R})$ -equivariance \implies $\text{GW}(\Psi_x)$ is $\text{SL}_n(\mathbb{R})_x$ -invariant
- ▶ compact support \implies support is 1-dimensional

$\text{VConv}_k(\mathbb{R}^n)$... epi-continuous, k -homogeneous, dually epi-translation-invariant valuations

$\mathcal{D}'_c((\mathbb{R}^n)^k)$... distributions with compact support

Notes on the proof

Theorem (H. & Knoerr 2023+)

Suppose that $\mu \in \text{VConv}_k(\mathbb{R}^n)$ and $E \in \text{Gr}_i(\mathbb{R}^n)$, $0 \leq i \leq n - 1$.

If $\text{supp GW}(\mu) \subseteq \Delta(E) \implies \exists \mu_E \in \text{VConv}_k(E)$, s.t.

$$\mu(f) = \mu_E(f|_E),$$

for all $f \in \text{Conv}(\mathbb{R}^n, \mathbb{R})$.

$$\Delta(y) = (y, \dots, y) \in (\mathbb{R}^n)^k$$

Idea: $\text{GW}(\mu)[\varphi]$ cannot depend on "normal" derivatives

Notes on the proof

$$\implies \Psi_x = \Psi_x^0 + \Psi_x^1, \text{ with } \Psi_x^i \in \text{VConv}_i(\mathbb{R}^n)$$

Notes on the proof

$$\implies \Psi_x = \Psi_x^0 + \Psi_x^1, \text{ with } \Psi_x^i \in V\text{Conv}_i(\mathbb{R}^n)$$

▶ 0-homogeneous: $\Psi_x^0 \equiv c, c \in \mathbb{R}$

Notes on the proof

$\implies \Psi_x = \Psi_x^0 + \Psi_x^1$, with $\Psi_x^i \in V\text{Conv}_i(\mathbb{R}^n)$

- ▶ 0-homogeneous: $\Psi_x^0 \equiv c$, $c \in \mathbb{R}$
- ▶ 1-homogeneous: use characterisation of additive maps

Notes on the proof

$$\implies \Psi_x = \Psi_x^0 + \Psi_x^1, \text{ with } \Psi_x^i \in V\text{Conv}_i(\mathbb{R}^n)$$

▶ 0-homogeneous: $\Psi_x^0 \equiv c, c \in \mathbb{R}$

▶ 1-homogeneous: use characterisation of additive maps

$$\implies \Psi(f)[x] = c + \int_{\mathbb{R}^{\times}} \frac{f(sx) - f(0)}{s^2} d\nu(s), \quad x \in \mathbb{R}^n$$

□

Contravariant valuations on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$

Suppose now that Ψ is $SL_n(\mathbb{R})$ -contravariant.

- ▶ $\text{supp GW}(\Psi_x)$ is compact!
- ▶ $\text{GW}(\Psi_x)$ is invariant under $\{\eta \in SL_n(\mathbb{R}) : \eta^T x = x\}$

Contravariant valuations on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$

Suppose now that Ψ is $SL_n(\mathbb{R})$ -contravariant.

- ▶ $\text{supp GW}(\Psi_x)$ is compact!
- ▶ $\text{GW}(\Psi_x)$ is invariant under $\{\eta \in SL_n(\mathbb{R}) : \eta^T x = x\}$
 - ▶ transitive on $x^\perp \implies \text{supp GW}(\Psi_x) \cap (\{tx\} + x^\perp) = \{0\}$

Contravariant valuations on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$

Suppose now that Ψ is $SL_n(\mathbb{R})$ -contravariant.

- ▶ $\text{supp GW}(\Psi_x)$ is compact!
- ▶ $\text{GW}(\Psi_x)$ is invariant under $\{\eta \in SL_n(\mathbb{R}) : \eta^T x = x\}$
 - ▶ transitive on $x^\perp \implies \text{supp GW}(\Psi_x) \cap (\{tx\} + x^\perp) = \{0\}$
 - ▶ shear mappings $\implies \text{supp GW}(\Psi_x) \subset \{0\}$

Contravariant valuations on $\text{Conv}(\mathbb{R}^n, \mathbb{R})$

Suppose now that Ψ is $\text{SL}_n(\mathbb{R})$ -contravariant.

- ▶ $\text{supp GW}(\Psi_x)$ is compact!
- ▶ $\text{GW}(\Psi_x)$ is invariant under $\{\eta \in \text{SL}_n(\mathbb{R}) : \eta^T x = x\}$
 - ▶ transitive on $x^\perp \implies \text{supp GW}(\Psi_x) \cap (\{tx\} + x^\perp) = \{0\}$
 - ▶ shear mappings $\implies \text{supp GW}(\Psi_x) \subset \{0\}$

Theorem (H. & Knoerr 2023+)

Suppose that $\Psi : \text{Conv}(\mathbb{R}^n, \mathbb{R}) \rightarrow \text{Conv}(\mathbb{R}^n, \mathbb{R})$ is a continuous, dually epi-translation-invariant valuation.

Ψ is $\text{SL}_n(\mathbb{R})$ -contravariant $\iff \Psi \equiv c, c \in \mathbb{R}.$

Thank you for your attention!